

12273-3



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

### Application of:

Group Art Unit: 1646

LANGRIDGE, William H.R. et al.

Examining Attorney:  
to be assigned

Serial No.: 09/771,536

Filed: January 29, 2001

**For: Transgenic Plant-Based Vaccines**

Pasadena, California

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**  
*Filing Date Granted*

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION: BOX MISSING PARTS**

Dear Sir:

In response to a “Notice to File Missing Parts of Nonprovisional Application, Filing Date Granted” dated April 2, 2001, the Applicants provide the following with this letter:

1. A check in the amount of \$65.00 to cover the late surcharge fee for a small entity;

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Attention: Box Missing Parts, on May 4, 2001

Elaine Porter  
Signature  
ELAINE PORTER

2. Combined Declaration and Power of Attorneys from inventors William H.R. Langridge, Jie Yu and Takeshi Arakawa;
3. Power of Attorney from Loma Linda University;
4. Assignments of inventors with Recordation Form Cover Sheet and check in the amount of \$40.00;
5. Statement in Accordance with 37 CFR 1.821(c) and (e) with computer readable disk; and
6. A copy of the Notice to File Missing Parts of Nonprovisional Application, *Filing Date Granted*;

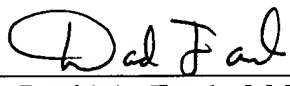
No other fees are believed due in connection with this communication.

However, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK  
a Professional Corporation

Date: May 4, 2001

By:   
David A. Farah, M.D.  
Reg. No. 38,134

225 South Lake Avenue, Suite 900  
Pasadena, California 91101  
626/796-4000



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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT  | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|------------------------|------------------------|
| 09/771,536         | 01/29/2001          | William H.R. Langridge | 12273-3                |

CONFIRMATION NO. 9620

## FORMALITIES LETTER



\*OC00000005923592\*

SHELDON & MAK  
9TH FLOOR  
225 S. LAKE AVE.  
PASADENA, CA 91101

Date Mailed: 04/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE